

# Decoding the Standard Model with Flavour Physics

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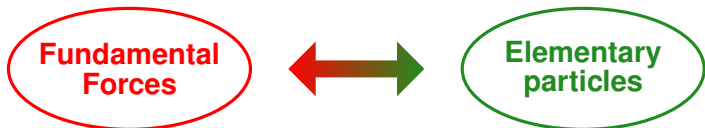
**Università di Bologna**

**21.01.2025**

## Outline:

1. The Standard Model and the Flavour Problem
2. The  $V_{cb}$  puzzle
3. Outlook and prospects on BSM

# The Standard Model of Particle Physics

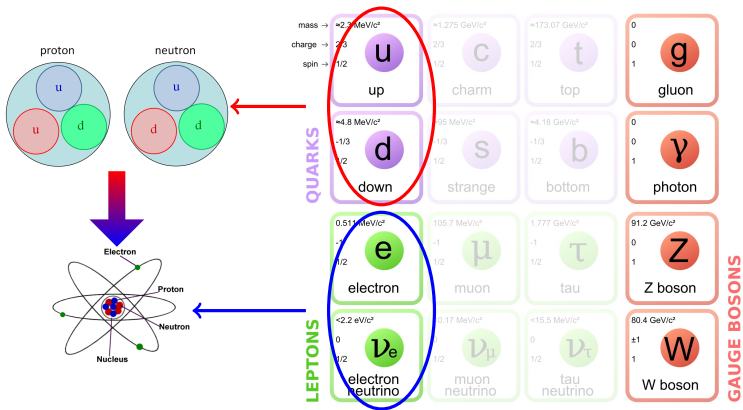


- ⇒ Describe the building blocks of the universe from a microscopic point of view
- ⇒ Easily characterised by symmetry laws
- ⇒ Forces and elementary particles are described by Quantum Fields, in the context of Quantum Field Theories

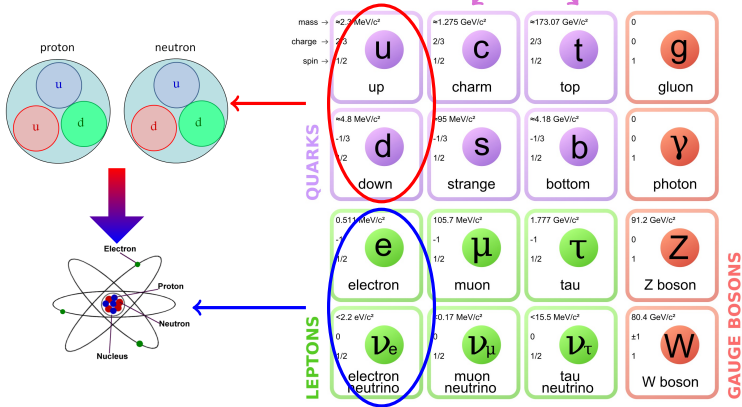
mass →	≈2.3 MeV/c <sup>2</sup>	≈1.275 GeV/c <sup>2</sup>	≈173.07 GeV/c <sup>2</sup>	0
charge →	2/3	2/3	2/3	0
spin →	1/2	1/2	1/2	1
	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon
	≈4.8 MeV/c <sup>2</sup>	≈95 MeV/c <sup>2</sup>	≈4.18 GeV/c <sup>2</sup>	0
	-1/3	-1/3	-1/3	0
	1/2	1/2	1/2	1
<b>QUARKS</b>	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b>γ</b> photon
	0.511 MeV/c <sup>2</sup>	105.7 MeV/c <sup>2</sup>	1.777 GeV/c <sup>2</sup>	91.2 GeV/c <sup>2</sup>
	-1	-1	-1	0
	1/2	1/2	1/2	1
	<b>e</b> electron	<b>μ</b> muon	<b>τ</b> tau	<b>Z</b> Z boson
<b>LEPTONS</b>	<2.2 eV/c <sup>2</sup>	<0.17 MeV/c <sup>2</sup>	<15.5 MeV/c <sup>2</sup>	80.4 GeV/c <sup>2</sup>
	0	0	0	±1
	1/2	1/2	1/2	1
	<b>ν<sub>e</sub></b> electron neutrino	<b>ν<sub>μ</sub></b> muon neutrino	<b>ν<sub>τ</sub></b> tau neutrino	<b>W</b> W boson
				<b>GAUGE BOSONS</b>



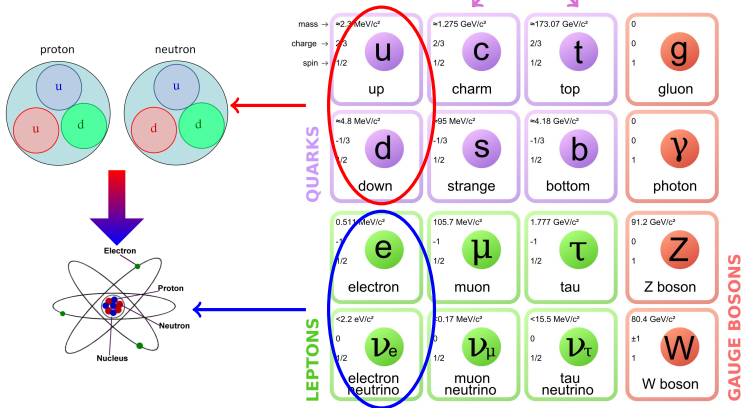
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				<b>GAUGE BOSONS</b>



“copies” of the first generation with heavier masses



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flavour: what is differentiating the three families

# The flavour problem

$$\mathcal{L}_{\text{Yukawa}} \supset Y_u^{ij} \bar{Q}_L^i H u_R^j$$

$$Y_u \sim y_t \begin{pmatrix} \text{light green circle} & \text{light green circle} & \text{light green circle with } 0.003 \\ & \text{medium green circle} & \text{medium green circle with } 0.04 \\ & & 1 \end{pmatrix}^{(*)}$$

- Yukawa couplings are not predicted in the SM
  - ⇒ Extracted from experimental measurements
- No explanation for the hierarchical structure
  - ⇒ Why the third generation seems so special?
- Why do we have exactly three families?

(\*) in the down basis

# Beyond the Standard Model

The SM is rather successful but...

**Dark Matter**

**Hierarchy problem**

**Flavour Problem**

**Neutrino masses**

**Gravity**

# Beyond the Standard Model

The SM is rather successful but...

Dark Matter

Hierarchy problem

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Gravity

**Can some beyond the SM theory accommodate all these problems?**

# Beyond the Standard Model

The SM is rather successful but...

Dark Matter

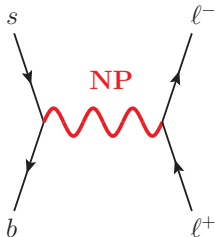
Hierarchy problem

Flavour Problem

Neutrino masses

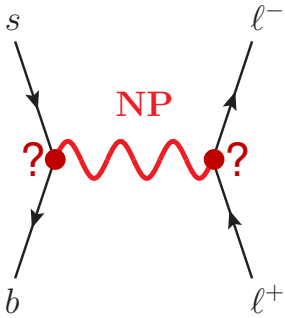
Gravity

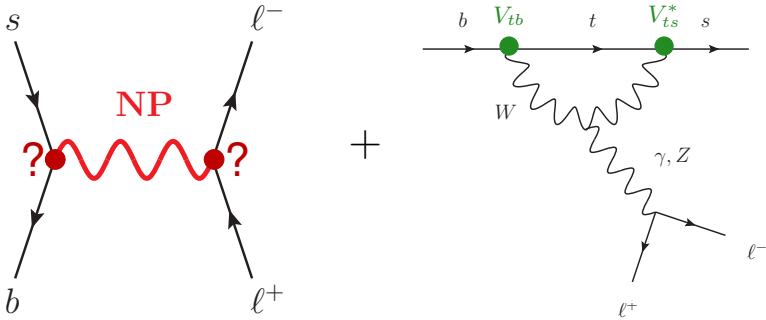
Can some beyond the SM theory accommodate all these problems?



- ⇒ Looking for new physics in flavour-changing processes can give us hints on the structure of theories beyond the SM
- ⇒ It provides possible links to the flavour problem



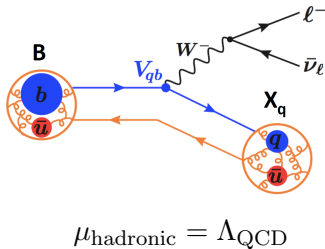
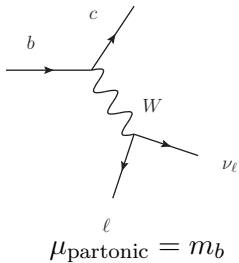




- ⇒ SM predictions for flavour-changing processes are parametrically small and therefore allow for testing heavy new physics
- ⇒ High control of theoretical and experimental precision is needed



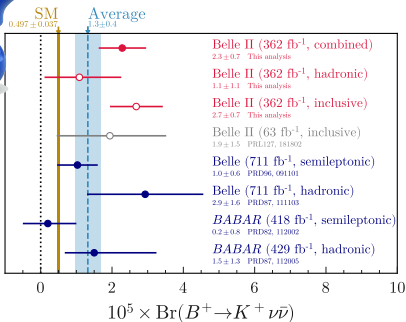
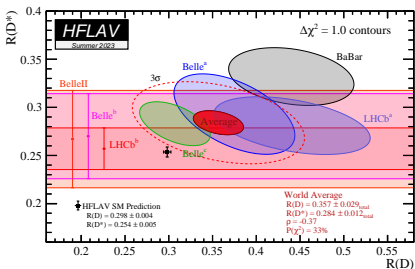
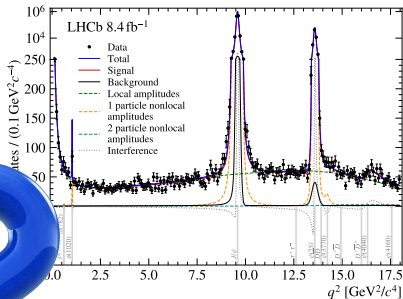
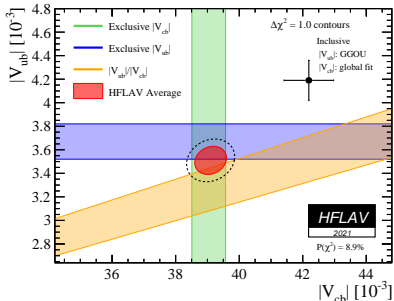
# Partonic vs Hadronic



**Fundamental challenge to match  
partonic and hadronic descriptions**



# Old and new puzzles in flavour physics



# The $V_{cb}$ puzzle

# The CKM matrix

## Interaction basis

⇒ gauge interactions are diagonal

⇒ mass terms are not diagonal

$$-\mathcal{L}_Y = Y_d^{ij} \bar{Q}_L^i H d_R^j + Y_u^{ij} \bar{Q}_L^i \tilde{H} u_R^j + \text{h.c.}$$

**Non-diagonal Yukawa**

## Mass basis

⇒ Yukawa couplings are diagonal

⇒ The CKM matrix is the remnant of the diagonalisation

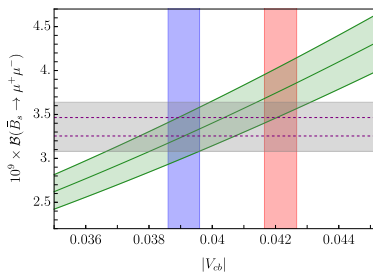
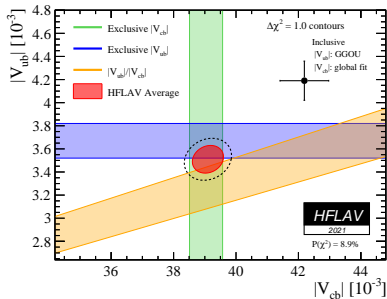
$$\mathcal{L}_{cc} \propto \bar{u}_L^i \gamma^\mu d_L^j W_\mu^+ V_{ij}$$

**CKM matrix**



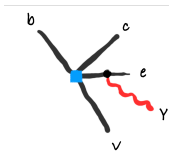
# The $V_{cb}$ puzzle

- Inclusive determination:  $B \rightarrow X_c \ell \bar{\nu}$ 
  - ⇒ Stable against various datasets
- Exclusive decays:  $B \rightarrow D^{(*)} \ell \bar{\nu}$ ,  $\Lambda_b \rightarrow \Lambda_c \ell \bar{\nu}$ 
  - ⇒ Lattice QCD results are in tension
  - ⇒ Experimental measurement show various disagreements
- $|V_{cb}|$  is a fundamental parameter to predict all flavour-changing observables



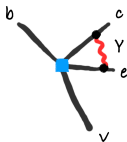
# QED effects for inclusive $V_{cb}$

1. **Collinear logs:** captured by splitting functions



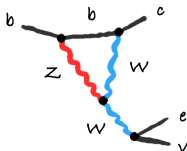
$$\sim \frac{\alpha_e}{\pi} \log^2 \left( \frac{m_b^2}{m_e^2} \right)$$

2. **Threshold effects** or Coulomb terms



$$\sim \frac{2\pi\alpha_e}{3}$$

3. **Wilson Coefficient**



$$\sim \frac{\alpha_e}{\pi} \left[ \log \left( \frac{M_Z^2}{\mu^2} \right) - \frac{11}{6} \right]$$

# Branching ratio

[Bigi, MB, Gambino, Haisch, Piccione, '23]

- The total branching ratio is not affected by large logs due to KLN theorem
- The large corrections are from the Wilson Coefficient and the threshold effects

$$\frac{\Gamma}{\Gamma^{(0)}g(\rho)} = 1 + \frac{\alpha}{\pi} \left[ \ln \left( \frac{M_Z^2}{m_b^2} \right) - \frac{11}{6} + 5.516(14) \right]$$
$$= 1 + \underbrace{1.43\% - 0.44\%}_{\text{Wilson Coefficient}} + \underbrace{1.32\%}_{\text{Threshold effects}} = 1 + 2.31\%$$

- Large shift of the branching ratio of the same order of the current error on  $V_{cb}$
- How do we incorporate the current datasets?
  - ⇒ Possible only on BaBar data
  - ⇒ A systematic approach is needed and foreseen for future experimental analysis
  - ⇒ How to evaluate structure-dependent terms is an open task

## Exclusive matrix elements

$$\langle H_c | J_\mu | H_b \rangle = \sum_i S_\mu^i \mathcal{F}_i$$

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← form factor

scale  $\Lambda_{\text{QCD}}$

independent Lorentz structures

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independent Lorentz structures

## Form factors determinations

- Lattice QCD
- QCD SR, LCSR

only points at specific kinematic points

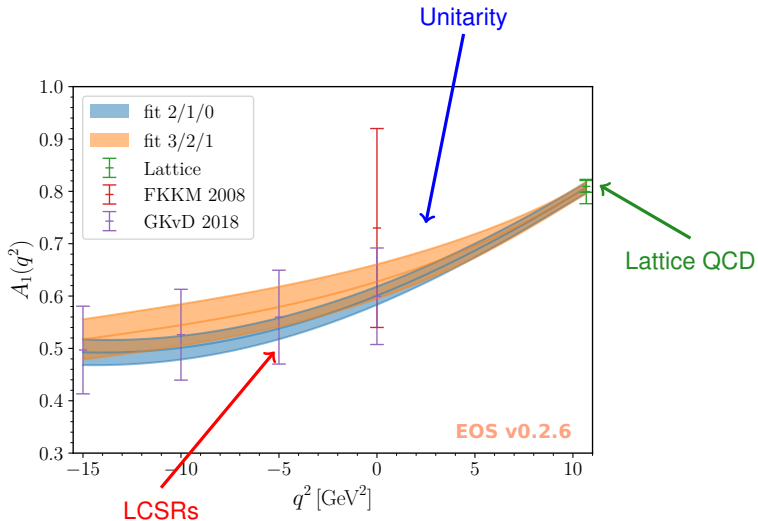
## Form factors parametrisations

- HQET (CLN + improvements)  $\Rightarrow$  reduce independent degrees of freedom
- Analytic properties  $\rightarrow$  BGL

data points needed to fix the coefficients of the expansion

# $B \rightarrow D^*$ before 2021

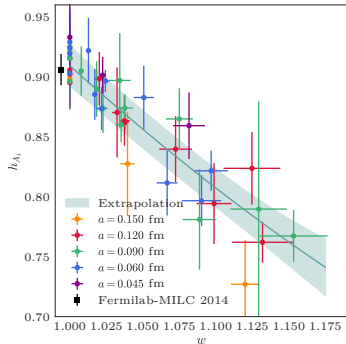
[MB, Gubernari, Jung, van Dyk, '19]



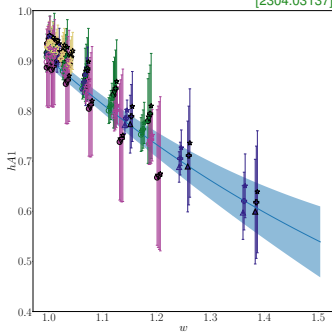
Other references: F. Bernlochner, Z. Ligeti, M. Papucci, M. Prim, D. Robinson, '22  
P. Gambino, M. Jung, S. Schacht, '19

# $B \rightarrow D^*$ from lattice away from zero recoil

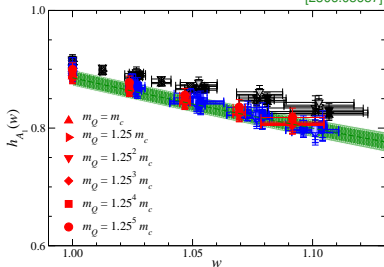
[2105.14019]



[2304.03137]



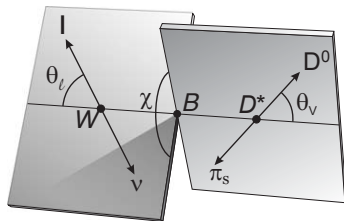
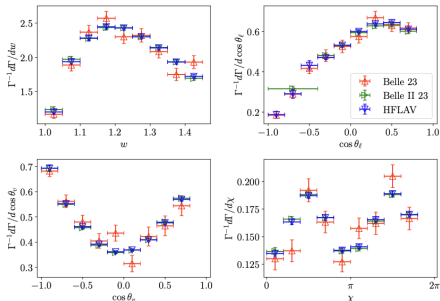
[2306.05657]



- Are these results compatible with each other?
- Are they compatible with experimental data?



# New $B \rightarrow D^* \ell \bar{\nu}$ Belle and Belle II data



- Between 7 to 10 bins per kinematic variable
- Available on HEPData with correlations
- Angular observables analysis are available, data just newly released

$$\frac{d\Gamma}{dw d\cos(\theta_\ell) d\cos(\theta_\nu) d\chi} = \frac{3G_F^2}{1024\pi^4} |V_{cb}|^2 \eta_{EW}^2 M_B r^2 \sqrt{w^2 - 1} q^2$$

$$\times \left\{ (1 - \cos(\theta_\ell))^2 \sin^2(\theta_\nu) H_+^2(w) + (1 + \cos(\theta_\ell))^2 \sin^2(\theta_\nu) H_-^2(w) \right.$$

$$+ 4 \sin^2(\theta_\ell) \cos^2(\theta_\nu) H_0^2(w) - 2 \sin^2(\theta_\ell) \sin^2(\theta_\nu) \cos(2\chi) H_+(w) H_-(w)$$

$$- 4 \sin(\theta_\ell) (1 - \cos(\theta_\ell)) \sin(\theta_\nu) \cos(\theta_\nu) \cos(\chi) H_+(w) H_0(w)$$

$$+ 4 \sin(\theta_\ell) (1 + \cos(\theta_\ell)) \sin(\theta_\nu) \cos(\theta_\nu) \cos(\chi) H_-(w) H_0(w) \left. \right\}$$

# Analysis strategies

## Setup

- BGL parametrisation
- Bayesian inference to apply unitarity

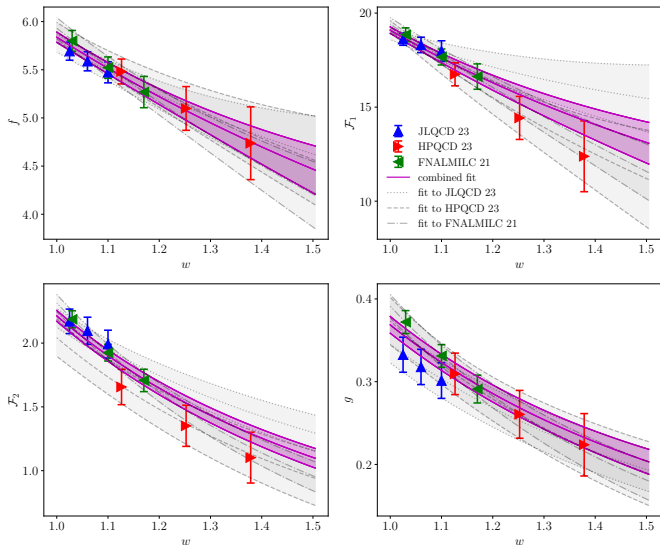
Flynn, Jüttner, Tsang, '23

## Questions

- Combine the three LQCD datasets
  - ⇒ Is the combination acceptable?
- Combine with experimental data
- What are the consequences for phenomenology?

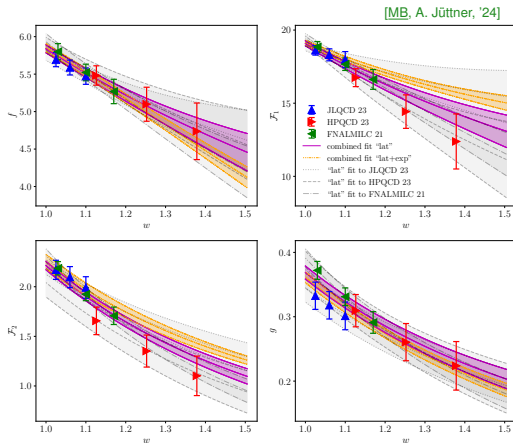
# Strategy A: Lattice only

[MB, A. Jüttner, '24]



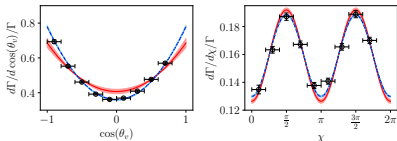
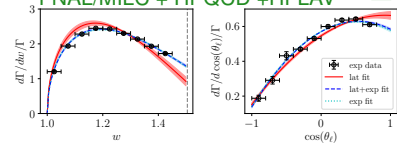
see also G. Martinelli, S. Simula, L. Vittorio, '23;'24

# Strategy B: Lattice + experimental data

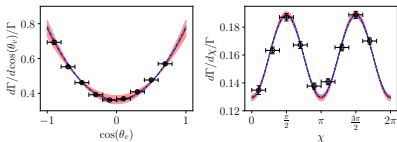
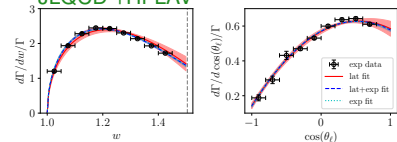


- Good fit quality for Strategy B ( $p$ -value  $\sim 18\%$ )
- Adding experimental data reduces the uncertainties, especially at large  $w$
- Especially for  $\mathcal{F}_1$  and  $\mathcal{F}_2$ , the shape changes between Strategy A and B

## FNAL/MILC + HPQCD + HFLAV [MB, A. Jüttner, '24]

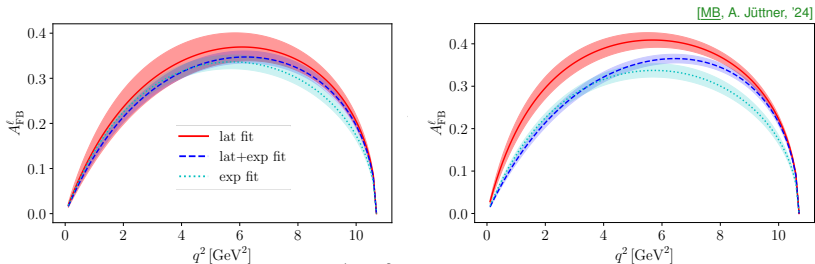


## JLQCD + HFLAV



- Fit to HPQCD and FNAL/MILC misses experimental points
- BGL fit to experimental and lattice data has  $p$ -value  $\sim 18\%$
- BGL coefficients shift of a few  $\sigma$  between Strategy A and B

# Differential observables

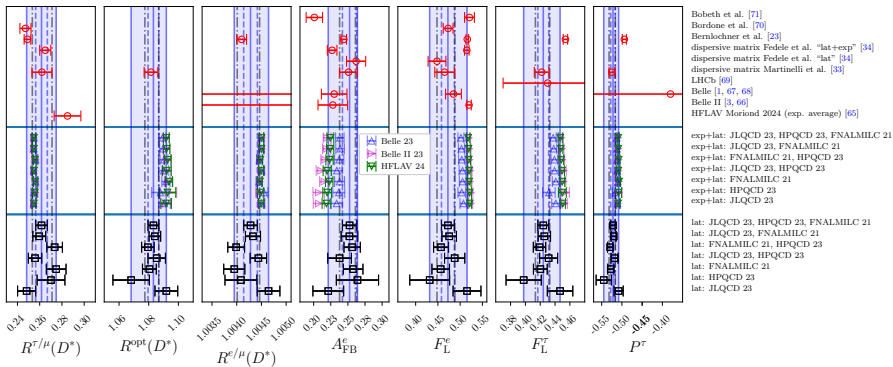


$$A_{\text{FB}}^\ell = \frac{\int_0^1 - \int_{-1}^0 d \cos \theta_\ell d\Gamma / d \cos \theta_\ell}{\int_0^1 + \int_{-1}^0 d \cos \theta_\ell d\Gamma / d \cos \theta_\ell}$$

- The combined lattice + experimental precision makes it possible to study the differences in the shape
- It is clear that there is a distinct difference between JLQCD and FNAL/MILC+HPQCD
- Difficult to understand what is going on, JLQCD errors are also a bit larger

# Integrated observables

[MB, A. Jüttner, '24]

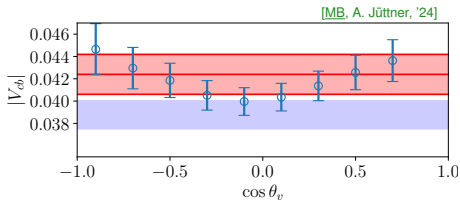


- Significant scatter between various combinations of lattice results
  - We apply a systematic error to account for the spread
- Consistent scatter of the experimental results independently of the lattice information

⇒ see also: Fedele et al, '23

# $|V_{cb}|$ - Strategy A

$$|V_{cb}|_{\alpha,i} = \left( \Gamma_{\text{exp}} \left[ \frac{1}{\Gamma} \frac{d\Gamma}{d\alpha} \right]_{\text{exp}}^{(i)} / \left[ \frac{d\Gamma_0}{d\alpha}(\mathbf{a}) \right]_{\text{lat}}^{(i)} \right)^{1/2}$$



## Blue band

- Frequentist fit  $p$ -value  $\sim 0\%$
- Affected by d'Agostini Bias

## Red band

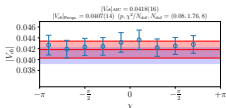
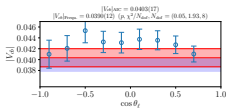
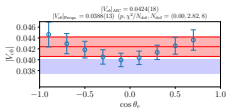
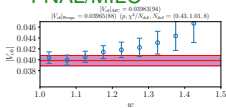
- Frequentist fit  $p$ -value  $\sim 0\%$
- Akaike-Information-Criterion analysis: average over all possible fits with at least two data points and then weighted average

$$w_{\{\alpha,i\}} = \mathcal{N}^{-1} \exp \left( -\frac{1}{2} (\chi_{\{\alpha,i\}}^2 - 2N_{\text{dof},\{\alpha,i\}}) \right) \quad \text{where} \quad \mathcal{N} = \sum_{\text{sets } \{\alpha,i\}} w_{\text{set}}$$

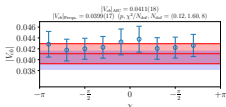
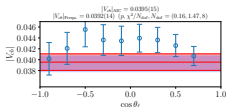
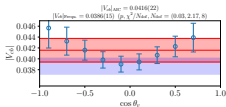
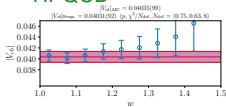
$$|V_{cb}| = \langle |V_{cb}| \rangle \equiv \sum_{\text{sets } \{\alpha,i\}} w_{\text{set}} |V_{cb}|_{\text{set}}$$



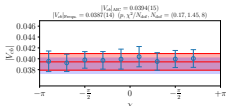
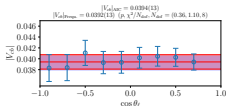
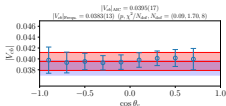
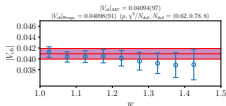
## FNAL/MILC



## HPQCD



## JLQCD

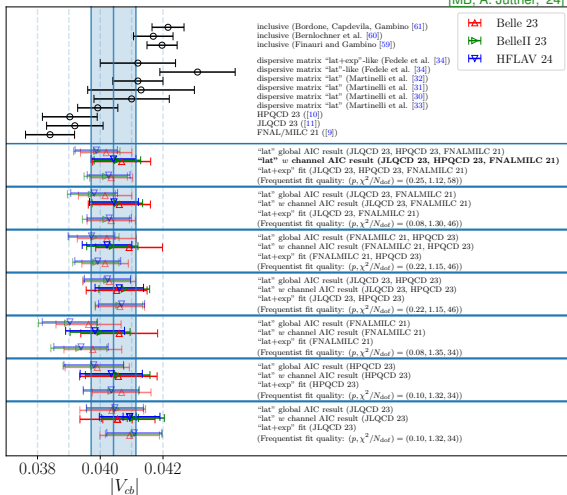


- Analysis based on Strategy A
- The AIC nicely reduces the d'Agostini bias
- Some lattice data behave strangely
- Would it be safer to discard the angular distributions?
- Combining the three lattice datasets doesn't help, shape driven by FNAL/MILC and HPQCD
- Good compatibility with Strategy B

see also G. Martinelli, S. Simula, L. Vittorio, '23/24

# $|V_{cb}|$ - Summary

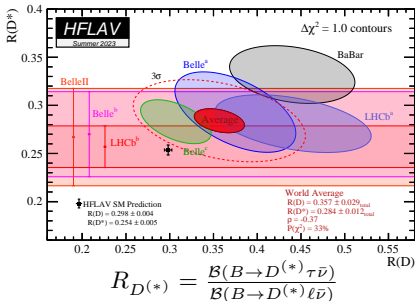
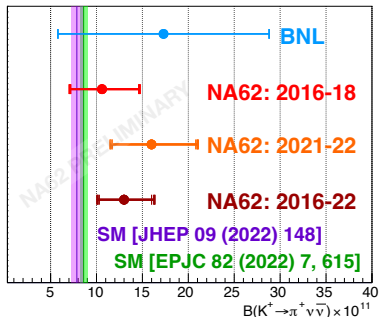
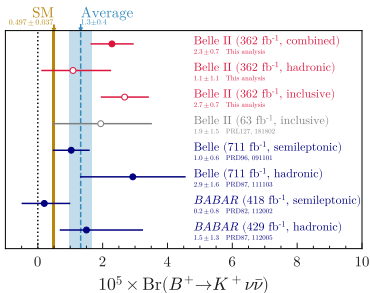
[MB, A. Jüttner, '24]



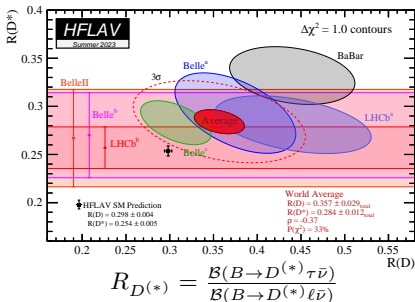
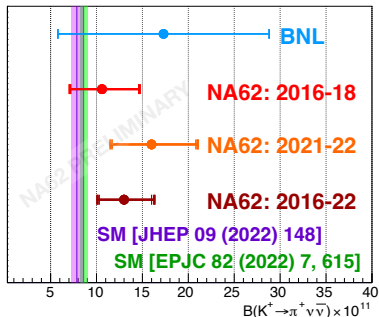
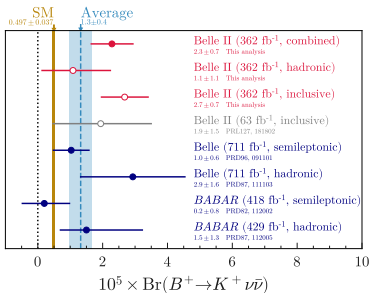
- Residual  $2\sigma$  difference with inclusive
- The AIC produces slightly larger uncertainties, overall all results are quite consistent

# **Outlook and prospects on BSM**

# What about BSM?



# What about BSM?



Can we accommodate all these deviations together?

# The EFT approach

- Since we haven't observed any clear sign of NP yet at low energies, we can work in an EFT context
  - ⇒ Agnostic of the nature of new physics, describe more than one UV model with the same operators
  - ⇒ Try to derive model-independent bounds
- We use the SMEFT
  - ⇒ Build all possible operators with SM fields and respecting SM symmetries
- The remnant of high-energy new physics is contained in the Wilson Coefficients
  - ⇒ With flavour, we have a lot of free degrees of freedom
  - ⇒ We need a criterium to infer their magnitude

# The $U(2)^n$ symmetry for BSM

$$\begin{array}{ll} q_{3L} \sim (\mathbf{1}, \mathbf{1}) & \ell_{3L} \sim (\mathbf{1}, \mathbf{1}) \\ Q_L = (Q_L^1, Q_L^2) \sim (\bar{\mathbf{2}}, \mathbf{1}) & L_L = (\ell_L^1, \ell_L^2) \sim (\mathbf{1}, \bar{\mathbf{2}}) \end{array}$$

Unbroken  $U(2)^5$

$$Y_u = y_t \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$



$$\bar{q}_{3L} \Gamma q_{3L} \checkmark$$

$$\bar{q}_{3L} \Gamma Q \times$$

# The $U(2)^n$ symmetry for BSM

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Unbroken  $U(2)^5$

$$Y_u = y_t \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$



$$\bar{q}_{3L} \Gamma q_{3L} \checkmark$$

$$\bar{q}_{3L} \Gamma Q \times$$

Soft symmetry breaking

$$Y_u = y_t \begin{pmatrix} \Delta & V_q \\ 0 & 1 \end{pmatrix}$$



$$\bar{q}_{3L} \Gamma q_{3L} \checkmark$$

$$\bar{q}_{3L} \Gamma (V_q Q) \checkmark$$



# Flavour Non-Universal New Physics

Dvali, Shifman, '00

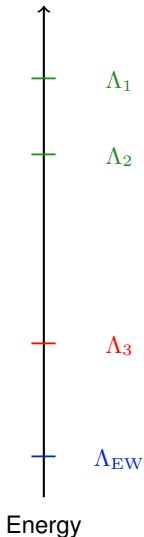
Panico, Pomarol, '16

MB, Cornella, Fuentes-Martin, Isidori '17

Allwicher, Isidori, Thomsen '20

Barbieri, Cornella, Isidori, '21

Davighi, Isidori '21



## Basic idea:

- 1st and 2nd have small masses and small couplings to NP because they are generated by dynamics at a heavier scale
- 3rd generation is linked to dynamics at lower scales and has stronger couplings

## Flavour deconstruction:

fermion families interact with different gauge groups and flavour hierarchies emerge as accidental symmetries

# Flavour Non-Universal New Physics

Dvali, Shifman, '00

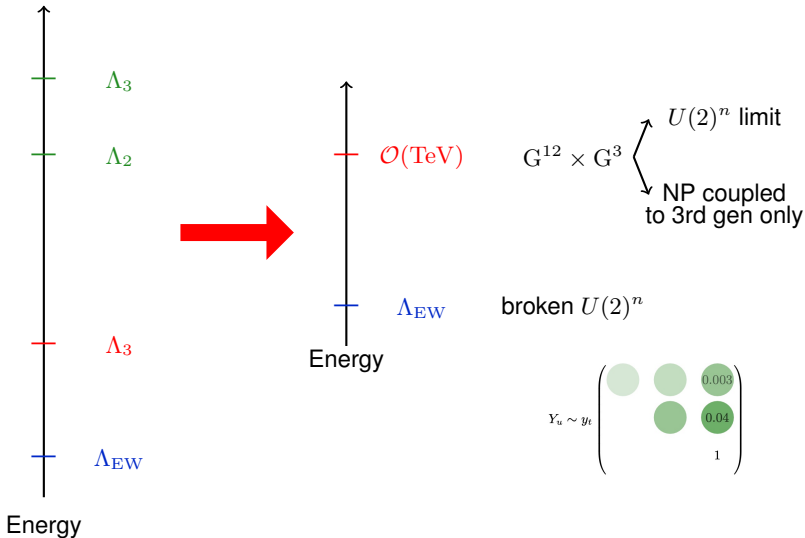
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Barbieri, Cornella, Isidori, '21

Davighi, Isidori '21




## Which operators?

$$Q_{\ell q}^{\pm} = (\bar{q}_L^3 \gamma^{\mu} q_L^3)(\bar{\ell}_L^3 \gamma_{\mu} \ell_L^3) \pm (\bar{q}_L^3 \gamma^{\mu} \sigma^a q_L^3)(\bar{\ell}_L^3 \gamma_{\mu} \sigma^a \ell_L^3) \quad Q_S = (\bar{\ell}_L^3 \tau_R)(\bar{b}_R q_L^3)$$

## Which operators?

$$Q_{\ell q}^{\pm} = (\bar{q}_L^3 \gamma^{\mu} q_L^3) (\bar{\ell}_L^3 \gamma_{\mu} \ell_L^3) \pm (\bar{q}_L^3 \gamma^{\mu} \sigma^a q_L^3) (\bar{\ell}_L^3 \gamma_{\mu} \sigma^a \ell_L^3) \quad Q_S = (\bar{\ell}_L^3 \tau_R) (\bar{b}_R q_L^3)$$



*SU(2)* singlet                      *SU(2)* triplet                      scalar

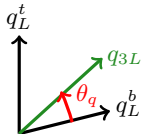
# Which operators?

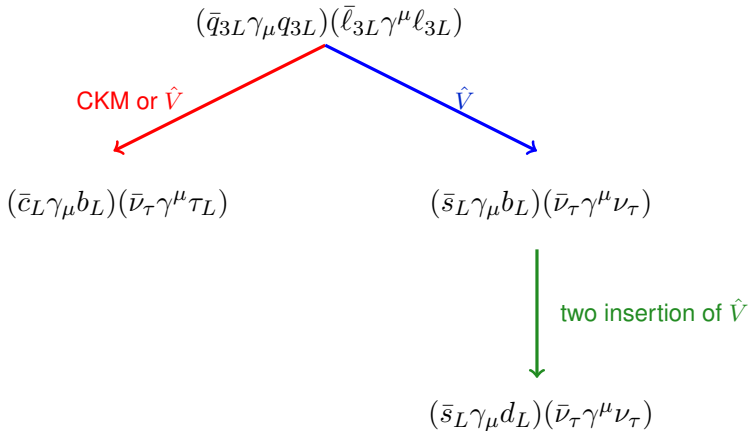
$$Q_{\ell q}^{\pm} = (\bar{q}_L^3 \gamma^{\mu} q_L^3) (\bar{\ell}_L^3 \gamma_{\mu} \ell_L^3) \pm (\bar{q}_L^3 \gamma^{\mu} \sigma^a q_L^3) (\bar{\ell}_L^3 \gamma_{\mu} \sigma^a \ell_L^3) \quad Q_S = (\bar{\ell}_L^3 \tau_R) (\bar{b}_R q_L^3)$$

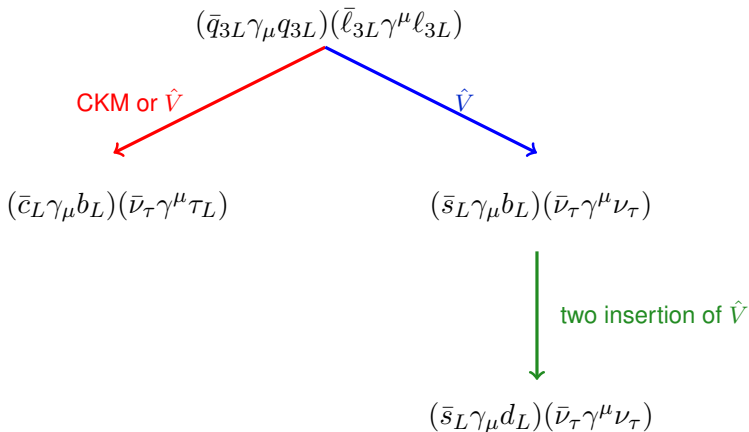
$\uparrow$   
*SU(2)* singlet
 $\uparrow$   
*SU(2)* triplet
 $\uparrow$   
scalar

- Only left-handed neutrinos
- $q_{3L} \equiv q_L^b + \hat{V} \cdot Q_L$

$$q_L^b = \begin{pmatrix} V_{j3}^* u_L^j \\ b_L \end{pmatrix} \quad Q_L^i = \begin{pmatrix} V_{ji}^* u_L^j \\ d_L^i \end{pmatrix} \quad \hat{V}_q \equiv -\epsilon V_{ts} \begin{pmatrix} \kappa V_{td} / V_{ts} \\ 1 \end{pmatrix}$$







**Correlations among all these modes  
is essential to prove NP scenarios**

## What do we expect in the SMEFT?

$$\mathcal{L}_{\text{EFT}} \supset \frac{C_{bc\tau\tau}}{\Lambda^2} (\bar{b}_L \gamma_\nu c_L) (\bar{\nu}_\tau \gamma^\mu \tau_L)$$

From  $U(2)^n \Rightarrow C_{bc\tau\tau} \sim V_{cb} \mathcal{O}(1)$

From  $R_{D^{(*)}} \Rightarrow \Lambda \sim \mathcal{O}(\text{TeV})$

Using  $SU(2)_L$  invariance, we have

$$\mathcal{L}_{\text{EFT}} \supset \frac{C_{ij\tau\tau}}{\Lambda^2} (\bar{d}_L^i \gamma_\nu d_L^j) (\bar{\nu}_\tau \gamma^\mu \nu_\tau)$$

$B^+ \rightarrow K^+ \nu \bar{\nu}$

From  $U(2)^n \Rightarrow C_{bs\tau\tau} \sim V_{cb} \mathcal{O}(1)$

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$

From  $U(2)^n \Rightarrow C_{sd\tau\tau} \sim 10^{-1} V_{cb} \mathcal{O}(1)$

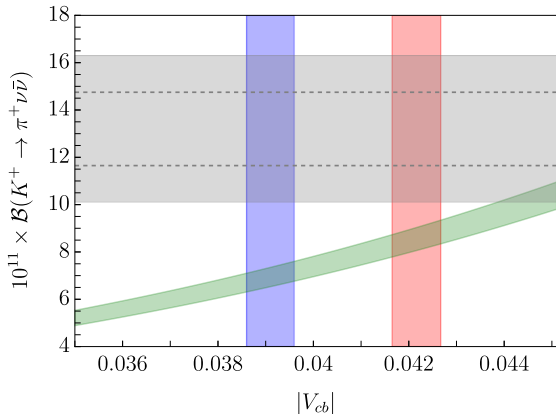


## On the $V_{cb}$ puzzle (again)

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \propto |\lambda_{ts}|^2 \quad \lambda_{ts} \equiv \lambda |V_{cb}| \left[ (\bar{\rho} - 1) \left( 1 - \frac{\lambda^2}{2} \right) + i\bar{\eta} \left( 1 + \frac{\lambda^2}{2} \right) \right] + \mathcal{O}(\lambda^4)$$

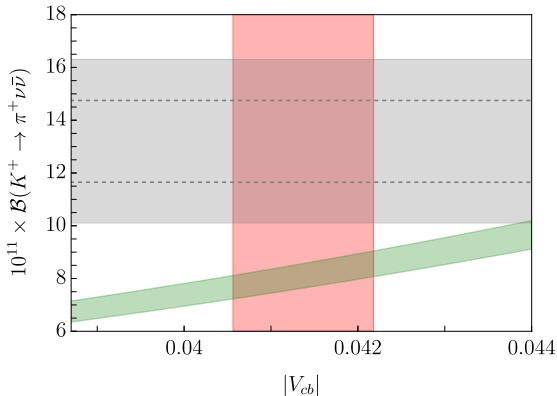
## On the $V_{cb}$ puzzle (again)

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \propto |\lambda_{ts}|^2 \quad \lambda_{ts} \equiv \lambda |V_{cb}| \left[ (\bar{\rho} - 1) \left( 1 - \frac{\lambda^2}{2} \right) + i\bar{\eta} \left( 1 + \frac{\lambda^2}{2} \right) \right] + \mathcal{O}(\lambda^4)$$



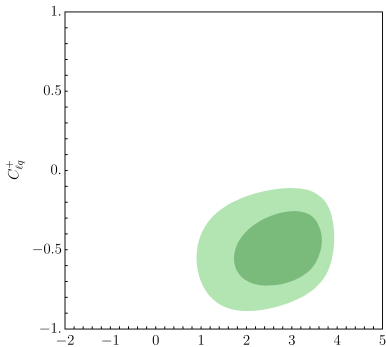
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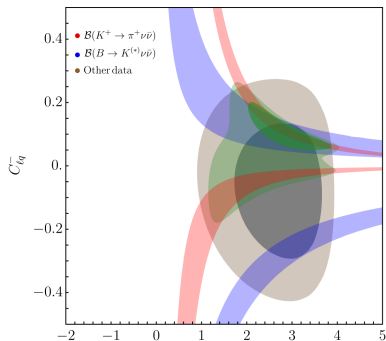
$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu})^{\text{SM}} = (8.09 \pm 0.63) \times 10^{-11}$$

$$\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu})^{\text{SM}} = (2.58 \pm 0.30) \times 10^{-11}$$



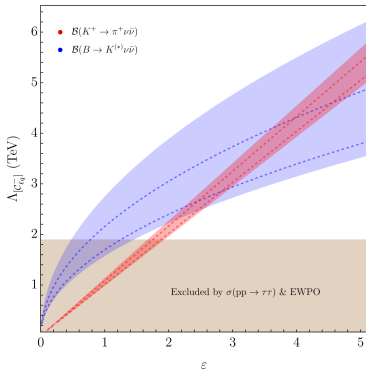
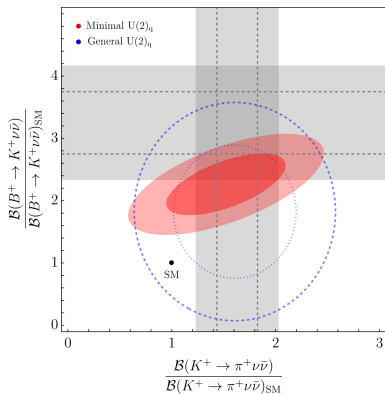
$\epsilon$

- EWPO and direct searches
- $R_{D^{(*)}}$
- $B \rightarrow K^{(*)} \mu^+ \mu^-$

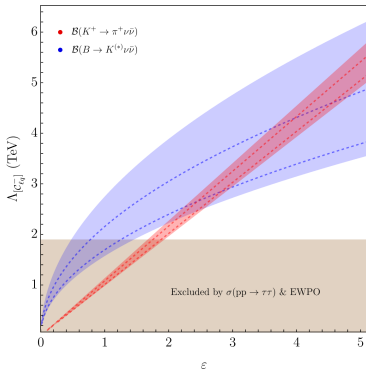
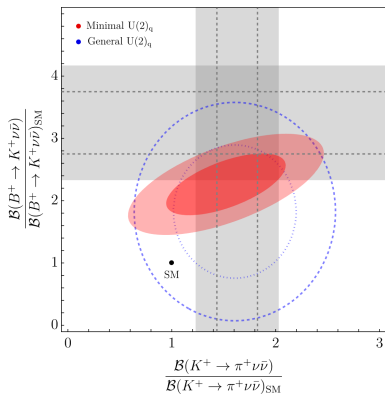


$\epsilon$

- $B \rightarrow K^{(*)} \nu \bar{\nu}$
- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$



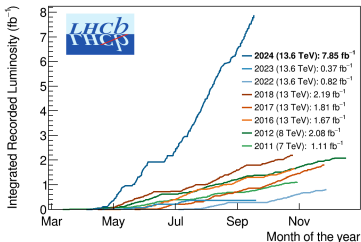
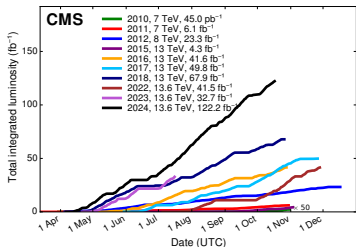
- The  $U(2)^n$  symmetry creates a natural link between all this observables
- The complementarity between low- and high-energy data is useful to probe the parameter space



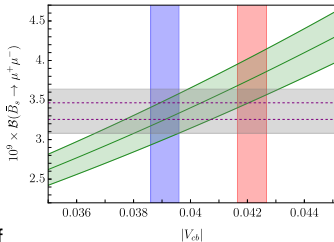
- The  $U(2)^n$  symmetry creates a natural link between all this observables
- The complementarity between low- and high-energy data is useful to probe the parameter space

**Further data is essential!**

# Experimental prospects



- Experimental facilities are delivering unprecedented datasets
- The experimental reach supported by new analysis techniques already superseded the expectations
- Theoretical advancements are crucial for achieving greater precision in understanding flavor processes and evaluating potential signs of new physics



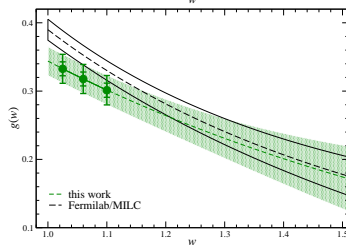
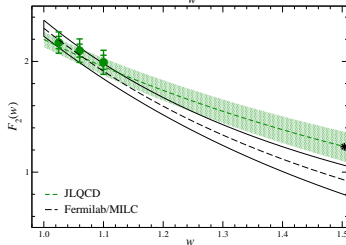
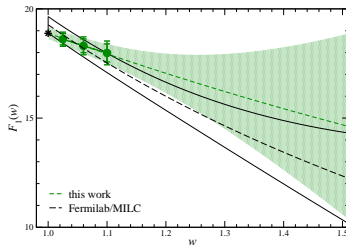
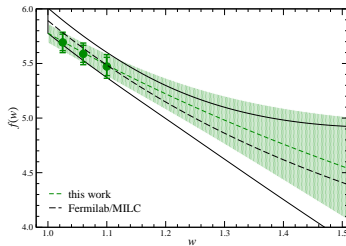
# Summary

- Flavour physics has the potential to test for possible hints of extensions of the SM
- The main showstopper is the theoretical precision
- A lot of progress has been made, but a few pivotal puzzles persist
- There are hints for possible BSM directions, but more efforts and more data are needed to shed light on their nature



# Appendix

# Compatibility of lattice data



- Similar results with HPQCD
- There are some differences in the slopes
- How good is the compatibility?
- Do the differences yield significant pheno consequences?

## Frequentist fit

$K_f$	$K_{\mathcal{F}_1}$	$K_{\mathcal{F}_2}$	$K_g$	$a_{g,0}$	$a_{g,1}$	$a_{g,2}$	$a_{g,3}$	$p$	$\chi^2/N_{\text{dof}}$	$N_{\text{dof}}$
2	2	2	2	0.03138(87)	-0.059(24)	-	-	0.95	0.62	30
3	3	3	3	0.03131(87)	-0.046(36)	-1.2(1.8)	-	0.90	0.67	26
4	4	4	4	0.03126(87)	-0.017(48)	-3.7(3.3)	49.9(53.6)	0.79	0.75	22

- good fit quality
- lattice data are compatible
- no unitarity

## Bayesian Fit

$K_f$	$K_{\mathcal{F}_1}$	$K_{\mathcal{F}_2}$	$K_g$	$a_{g,0}$	$a_{g,1}$	$a_{g,2}$	$a_{g,3}$
2	2	2	2	0.03018(76)	-0.101(21)	-	-
3	3	3	3	0.03034(78)	-0.087(24)	-0.34(45)	-
4	4	4	4	0.03035(77)	-0.089(23)	-0.27(41)	-0.04(45)

- unitarity regulates higher orders
- truncation dependent

Despite the SM successes,  
there are open problems:

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there are open problems:

**Hierarchy problem**

**dark matter/dark energy**

**flavour hierarchies**

**neutrino masses**

**gravity**

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Hierarchy problem

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SM(EFT)

$\Lambda_{EW}$

Energy

Despite the SM successes,  
there are open problems:

Hierarchy problem

dark matter/dark energy

flavour hierarchies

neutrino masses

gravity

UV theory

SM(EFT)

$\Lambda_{UV}$

$\Lambda_{EW}$

Energy

Despite the SM successes,  
there are open problems:

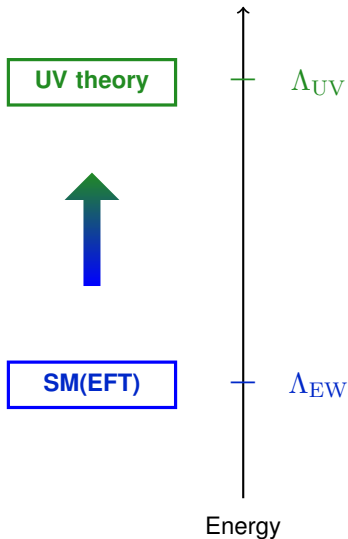
Hierarchy problem

dark matter/dark energy

flavour hierarchies

neutrino masses

gravity





# What's the problem for BSM?

*B*-physics

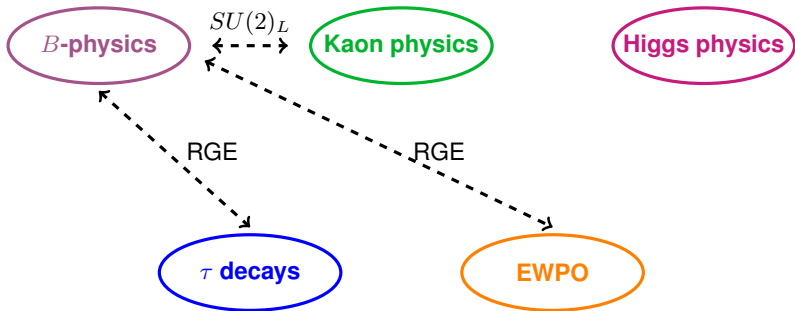
Kaon physics

Higgs physics

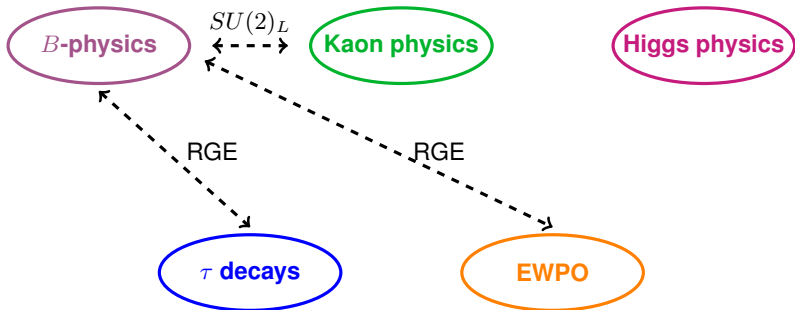
$\tau$  decays

EWPO

# What's the problem for BSM?



# What's the problem for BSM?



**How to satisfy all the constraints at the same time?**

# The NP flavour problem

$$\mathcal{L} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \sum_{d,i} \frac{c_i^{(d)}}{\Lambda^{d-4}} \mathcal{O}_i^d$$

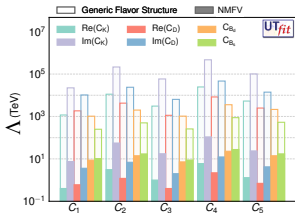
Large Flavour symmetry

Flavour degeneracy is broken

Three replica of the same fermion fields

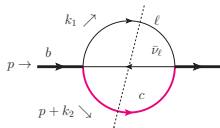
$U(3)^5$  symmetry

The breaking is peculiar



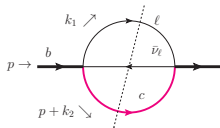
- In the SM: accidental  $U(3)^5 \rightarrow$  approx  $U(2)^n$
- **What happens when we switch on NP?**

# Theory framework



$$\Gamma = \frac{1}{m_B} \text{Im} \int d^4x \langle B(p) | T \left\{ \mathcal{H}_{\text{eff}}^\dagger(x) \mathcal{H}_{\text{eff}}(0) \right\} | B(p) \rangle$$

# Theory framework

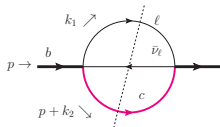


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$$\sum_{n,i} \frac{1}{m_b^n} C_{n,i} \mathcal{O}_{n+3,i}$$

↑

# Theory framework



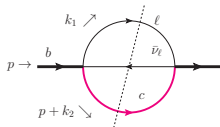
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$$\sum_{n,i} \frac{1}{m_b^n} C_{n,i} \mathcal{O}_{n+3,i}$$

↑

- The Wilson coefficients are calculated perturbatively
- The matrix elements  $\langle B(p) | \mathcal{O}_{n+3,i} | B(p) \rangle$  are non perturbative
  - ⇒ They need to be determined with non-perturbative methods, e.g. Lattice QCD
  - ⇒ They can be extracted from data
  - ⇒ With large  $n$ , large number of operators

# Theory framework



$$\Gamma = \frac{1}{m_B} \text{Im} \int d^4x \langle B(p) | T \{ \mathcal{H}_{\text{eff}}^\dagger(x) \mathcal{H}_{\text{eff}}(0) \} | B(p) \rangle$$

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  - ⇒ They can be extracted from data
  - ⇒ With large  $n$ , large number of operators

↑  
**loss of predictivity**



# Theory framework for $B \rightarrow X_c \ell \bar{\nu}$

Double expansion in  $1/m$  and  $\alpha_s$

$$\Gamma_{sl} = \Gamma_0 f(\rho) \left[ 1 + a_1 \left( \frac{\alpha_s}{\pi} \right) + a_2 \left( \frac{\alpha_s}{\pi} \right)^2 + a_3 \left( \frac{\alpha_s}{\pi} \right)^3 - \left( \frac{1}{2} - p_1 \left( \frac{\alpha_s}{\pi} \right) \right) \frac{\mu_\pi^2}{m_b^2} \right. \\ \left. + \left( g_0 + g_1 \left( \frac{\alpha_s}{\pi} \right) \right) \frac{\mu_G^2(m_b)}{m_b^2} + d_0 \frac{\rho_D^3}{m_b^3} - g_0 \frac{\rho_{LS}^3}{m_b^3} + \dots \right]$$

- The coefficients are known

- $\mu_\pi^2(\mu) = \frac{1}{2m_B} \langle B | \bar{b}_v (i\vec{D})^2 b_v | B \rangle_\mu$        $\mu_G^2(\mu) = \frac{1}{2m_B} \langle B | \bar{b}_v \frac{i}{2} \sigma_{\mu\nu} G^{\mu\nu} b_v | B \rangle_\mu$

⇒ No Lattice QCD determinations are available yet

- Use for the first time of  $\alpha_s^3$  corrections

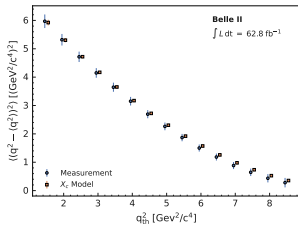
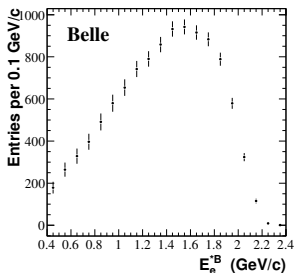
[Fael, Schönwald, Steinhauser, '20]

- Ellipses stands for higher orders

⇒ proliferation of terms and loss of predictivity

# How do we constrain the hadronic parameters?

We need information from kinematic distributions

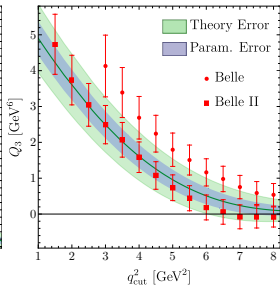
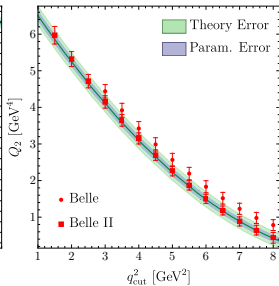
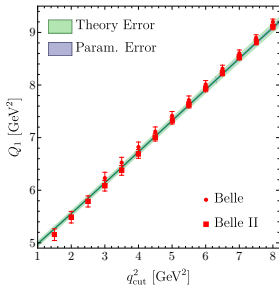


- Traditional method: Extract the hadronic parameters from moments of kinematic distributions in  $E_l$  and  $M_X$
- New idea: Use  $q^2$  moments to exploit the reduction of free parameters due to RPI  
[Fael, Mannel, Vos, '18, Bernlochner et al, '22]
- Measurements of branching fractions are needed and are at the moment quite old
- Can we do it on the lattice?  
[Gambino, Hashimoto, '20, '23, Hashimoto, Jüttner, et al, '23]

# Global fit

[MB, Capdevila, Gambino, '21, Finauri, Gambino, '23]

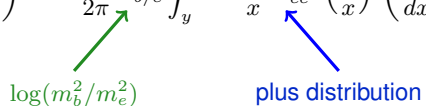
	$m_b^{\text{kin}}$	$\overline{m}_c$	$\mu_\pi^2$	$\mu_G^2$	$\rho_D^3$	$\rho_{LS}^3$	$10^2 \text{BR}_{c\ell\nu}$	$10^3  V_{cb} $	$\chi^2_{\text{min}} / (\text{dof})$
without	4.573	1.092	0.477	0.306	0.185	-0.130	10.66	42.16	22.3
$q^2$ -moments	0.012	0.008	0.056	0.050	0.031	0.092	0.15	0.51	0.474
Belle II	4.573	1.092	0.460	0.303	0.175	-0.118	10.65	42.08	26.4
	0.012	0.008	0.044	0.049	0.020	0.090	0.15	0.48	0.425
Belle	4.572	1.092	0.434	0.302	0.157	-0.100	10.64	41.96	28.1
	0.012	0.008	0.043	0.048	0.020	0.089	0.15	0.48	0.476
Belle &	4.572	1.092	0.449	0.301	0.167	-0.109	10.65	42.02	41.3
Belle II	0.012	0.008	0.042	0.048	0.018	0.089	0.15	0.48	0.559



# Two calculation approaches

## 1. Splitting Functions

$$\left(\frac{d\Gamma}{dy}\right)^{(1)} = \frac{\alpha}{2\pi} \bar{L}_{b/e} \int_y^{1-\rho} \frac{dx}{x} P_{ee}^{(0)}\left(\frac{y}{x}\right) \left(\frac{d\Gamma}{dx}\right)^{(0)}$$



$\log(m_b^2/m_e^2)$                       plus distribution

- Correction vanishes for the inclusive branching fraction
- Suitable for evaluating  $\mathcal{O}(\alpha^2)$  and  $\mathcal{O}(\alpha/m_b^n)$  corrections

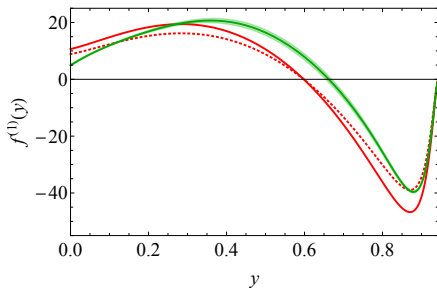
## 2. Full $\mathcal{O}(\alpha)$ corrections

- Access all corrections, not only the one that factorise
- Real corrections are computationally expensive
  - ⇒ Cuba library employed to carry out the 4-body integration
  - ⇒ Phase space splitting used to reduce the size of the integrands

# Lepton Energy spectrum

[Bigi, MB, Gambino, Haisch, Piccione, '23]

- We compute bins in the lepton energy using the full  $\mathcal{O}(\alpha)$  calculation
- We compare them to the results given by the splitting functions
- The difference the two calculations for the lepton energy spectrum and obtain a full analytic formula for the radiative corrections
  - ⇒ Relatively small, easy-to-use formula to obtain branching fractions, lepton energy moments w/o cuts

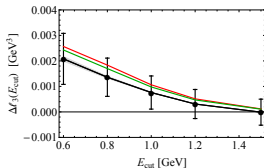
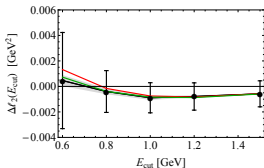
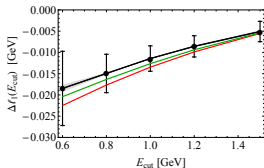


$$f^{(1)}(y) = \frac{\bar{L}_{b/e}}{2} f_{LL}^{(1)}(y) + \Delta f^{(1)}(y)$$

# Comparison with data

[Bigi, MB, Gambino, Haisch, Piccione, '23]

- Babar provides data with and without applying PHOTOS to subtract QED effects
  - ⇒ Perfect ground to test our calculations
  - ⇒ Not the same for Belle at the moment, could be possible for future analysis

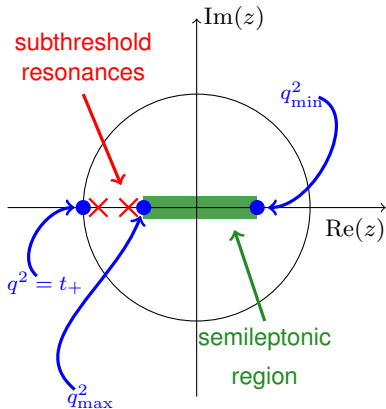


- The moments, since they are normalised, are not affected by the large threshold corrections
- The agreement with BaBar is very good

$$\langle E_\ell^n \rangle = \frac{\int_{E_\ell > E_{\ell, \text{cut}}} dE_\ell E_\ell^n \frac{d\Gamma}{dE_\ell}}{\Gamma_{E_\ell > E_{\ell, \text{cut}}}}$$

# The $z$ -expansion and unitarity

[Boyd, Grinstein, Lebed, '95, Caprini, Lellouch, Neubert, '98]



- in the complex plane form factors are real analytic functions
- $q^2$  is mapped onto the conformal complex variable  $z$

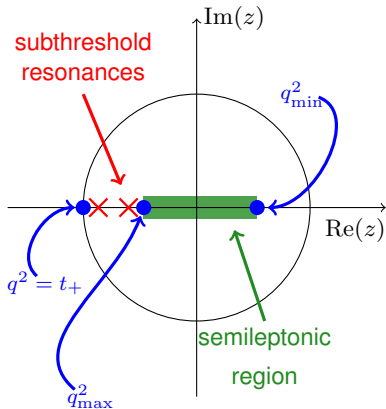
$$z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

- $q^2$  is mapped onto a disk in the complex  $z$  plane, where  $|z(q^2, t_0)| < 1$

$$F_i = \frac{1}{P_i(z)\phi_i(z)} \sum_{k=0}^{n_i} a_k^i z^k$$
$$\sum_{k=0}^{n_i} |a_k^i|^2 < 1$$

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**BGL**



# How to apply unitarity

- Penalty function in the  $\chi^2$  or likelihood

[P. Gambino, M. Jung, S. Schacht, '19]

$$\chi^2 \rightarrow \chi^2(a_k^i, a_k^i |_{\text{data}}) + w_i \theta \left( \sum_{k=0}^{n_i} |a_k^i|^2 - 1 \right)$$

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- Dispersive Matrix Method

[M. Di Carlo, G. Martinelli, M. Naviglio, F. Sanfilippo, S. Simula, L. Vittorio, '21]

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$$\mathbf{M} = \begin{pmatrix} \chi & \phi f & \phi_1 f_1 & \phi_2 f_2 & \dots & \phi_N f_N \\ \phi f & \frac{1}{1-z^2} & \frac{1}{1-zz_1} & \frac{1}{1-zz_2} & \dots & \frac{1}{1-zz_N} \\ \phi_1 f_1 & \frac{1}{1-z_1z} & \frac{1}{1-z_1^2} & \frac{1}{1-z_1z_2} & \dots & \frac{1}{1-z_1z_N} \\ \phi_2 f_2 & \frac{1}{1-z_2z} & \frac{1}{1-z_2z_1} & \frac{1}{1-z_2^2} & \dots & \frac{1}{1-z_2z_N} \\ \dots & \dots & \dots & \dots & \dots & \dots \\ \phi_N f_N & \frac{1}{1-z_Nz} & \frac{1}{1-z_Nz_1} & \frac{1}{1-z_Nz_2} & \dots & \frac{1}{1-z_N^2} \end{pmatrix}$$

$$\det \mathbf{M} > 0 \Rightarrow \beta - \sqrt{\gamma} \leq f_0 \leq \beta + \sqrt{\gamma}$$

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- Bayesian inference

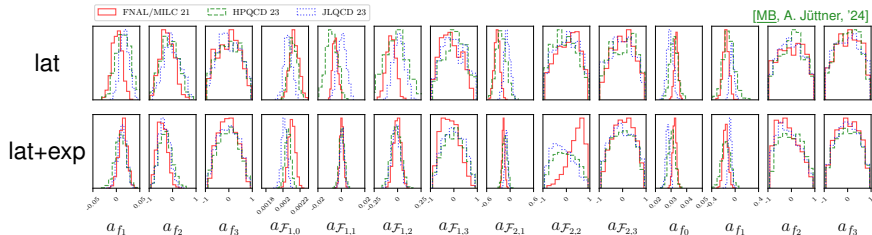
[J. Flynn, A. Jüttner, T. Tsang, '23]

$$\langle g(\mathbf{a}) \rangle = \mathcal{N} \int d\mathbf{a} g(\mathbf{a}) \pi(\mathbf{a} | \mathbf{f}, C_f) \pi_{\mathbf{a}}$$

$\theta(1 - |\mathbf{a}|^2)$

contains the lattice  $\chi^2$

# Posterior distribution



- Small shifts between lattice only and lattice + data
- Higher order coefficients well constrained by unitarity
- $a_{\mathcal{F}_{2,2}}$  has a strange behaviour, maybe kinematic constraints?